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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/716,732
Filing Date	November 19, 2003
First Named Inventor	Henry Aoki
Group Art Unit	Not yet assigned
Examiner Name	Not yet assigned
Total Number of Pages in This Submission	495P011

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> -References cited - 5
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kevin S. Lemack Nields & Lemack
Signature	
Date	January 20, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: Jan. 20, 2004

Typed or printed name	Kevin S. Lemack
Signature	
Date	January 20, 2004

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Henry Aoki
Serial No. : 10/716,732
Filed : November 19, 2003
For : NOVEL XANTHONE DERIVATIVES, METHOD OF PRODUCTION THEREOF
Examiner : Not yet assigned
Art Unit : Not yet assigned
Attorney
Docket No. : 495P011

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

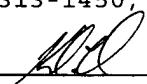
Sir:

INFORMATION DISCLOSURE STATEMENT

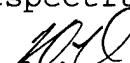
The Examiner is respectfully requested to consider the documents which are listed on the attached form PTO 1449.

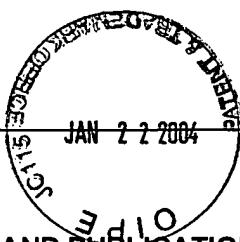
Copies of the documents listed on the attached form are filed herewith.

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Signature: Kevin S. Lemack
Date: January 20, 2004

Respectfully submitted,


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FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

ATTY. DOCKET NO. 495P011 SERIAL NO. 10/716,732

Henry Aoki

FILING DATE November 19, 2003 GROUP Not yet assigned

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	4,776,104	10/1988	Kuoyama	34	77	
AB	5,170,697	12/1992	Kuboyama	99	470	
AC	5,572,923	11/1996	Kuboyama	99	287	
AD	5,741,813	4/1998	Lin et al.	514	455	
AE	6,403,636	6/2002	Reutrakul et al.	514	453	
AF						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
BA							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

CA	
CB	
CB	
EXAMINER	DATE CONSIDERED

EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.